

A

0505-4015

Express Mail Label No.

E405869488US

Jc860 U.S. PTO
09/802163
03/08/01

UTILITY APPLICATION AND APPLICATION FEE TRANSMITTAL (1.53(b))

Washington, DC 20231

Transmitted herewith for filing is the patent application of:

For: AUTOMATED FIRST LOOK AT MARKET EVENTS

[] Certification of chain of title pursuant to 37 C.F.R. § 3.73(b)

This is a ☐ continuation ☐ divisional ☐ continuation-in-part (CIP) of co-pending application serial no. _____ filed _____.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Christopher KEITH

Filed : Herewith

Group Art Unit:

Examiner :

For : AUTOMATED FIRST LOOK AT MARKET EVENTS

EXPRESS MAIL CERTIFICATE

Express Mail Label No: EK405869488US

Date of Deposit: March 8, 2001

I hereby certify that the following attached paper(s) and/or fee

1. Application including 122 pg. specification, 1 pg. Abstract, 1 pg. claims ¹⁻⁷
2. 91 sheets drawings, formal
3. Declaration, signed
4. Assignment, signed
5. Assignment Recordation Cover Sheet
6. Application Fee Transmittal
7. Credit card authorization form for \$ 355. (application filing)
8. Credit card authorization form for \$40.00 (assignment recordation)
9. Request for Non-publication under 35 U.S.C. 122(b)
10. Return receipt postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Brenda Pomerance
Brenda Pomerance

CORRESPONDENCE ADDRESS:

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REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)	First Named Inventor	Christopher KEITH
	Title	AUTOMATED FIRST LOOK AT MARKET EVENTS
	Atty Docket Number	0505-4015

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

March 8, 2001
Date

Brenda Pomerance
Signature

Brenda Pomerance
Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this non-publication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

PATENT
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CALCULATION OF APPLICATION FEE (For a Small Entity)

	No. Filed	No. Included in Basic Fee	No. Extra	Rate per Claim	TOTAL
Basic Fee					355
Total Claims	7	20		9	
Independent Claims	2	3		40	
Multiple Dependent Claims				135	
TOTAL					355

☒ Small Entity Status is claimed. Reduced fees under 37 C.F.R. § 1.9(f) are paid herewith.

☐ A check in the amount of \$_____ in payment of the application filing fee is attached.

☒ A Credit Card Payment Form authorizing payment of \$ 355.00 is attached.

Respectfully submitted,

Date: March 8, 2001

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